## APPLICATION FOR YACHT AND SHIP SALESPERSON'S LICENCE



## SECTION II

$\uparrow$ Carefully read and provide a YES or NO response to ALL questions 17-19. Yes answers require additional details.
$\uparrow$ "CONVICTED" as used in this section includes a verdict of guilty by judge or jury, a plea of guilty or of nolo contender, or a forfeiture of bail in municipal, superior, or federal court. All convictions must be disclosed whether or not the plea or verdict was set aside, the conviction against you was dismissed or expunged, or if you have been pardoned. Convictions occurring while you were a minor must be disclosed unless the record of conviction has been sealed under section 1203.45 of the California Penal Code or Section 781 of the California Welfare and Institutions Code.
17. Have you ever been denied or had a business or professional license suspended, restricted, or revoked in California or any other state? (Do not include driver's license suspensions, etc.)

No
Yes - complete license information below in 17A-17D and detail in 20A-20B. Include the date the action was taken, reason it occurred, and (if applicable) when action against you was terminated.

| 17A. TYPE OF LICENSE | 17B. ACTION (Revoked, etc.) | 17C. LICENSE I.D. NO. / EXPIRATION DATE | 17D. STATE |
| :--- | :--- | :--- | :--- |
| 18. Have you ever been convicted of any violation of law? | $\square$ No |  |  |
| (You may omit minor traffic citations which do not constitute a misdemeanor or felony offense) | $\square$ Yes (describe in 20A-20B) |  |  |
| 19. Are there any criminal charges pending against you at this time? | $\square$ No |  |  |

## 20A. EXPLANATIONS FOR YES ANSWERS IN SECTION II.

Complete information line for each violation below and provide explanations in detail section, referencing the corresponding line number. If you are unable to provide this information, a detailed explanation may suffice. Indicate whether each item was a misdemeanor or felony at the time the conviction occurred. If the conviction status has been subsequently changed or reduced, note that fact in the detail section. * TYPE OF CONVICTION - "F" = Felony, "M" = Misdemeanor; **CODE SECTION VIOLATED - reference the section number of the code violation; ***CODE VIOLATED - list the Code that was violated (i.e. Penal Code); ****DISPOSITION - list the outcome (e.g. probation, fine, length of term, amounts, etc.);

| \# | Court of Conviction <br> (Name \& Address) | Arresting Agency (Name \& Address) | Date of Conv. | *Type of Conv | **Code Section Violated | ***Code <br> Violated | ****Disposition | Case No. |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Ex | Sac. Cnty; 456 Main St. Sac, CA 55555 | Sac. City Pol. 123 Main St. Sac, CA 55555 | 2/20/1987 | $\begin{array}{\|l} \hline \square \mathrm{F} \\ \mathrm{X} \mathrm{M} \end{array}$ | 484 | Penal code | 6 mo . Probation | $\begin{aligned} & 12345- \\ & 05555 \end{aligned}$ |
| A |  |  |  | $\begin{aligned} & \square \mathrm{F} \\ & \square \mathrm{M} \end{aligned}$ |  |  |  |  |
| B |  |  |  | $\begin{aligned} & \square \mathrm{F} \\ & \square \mathrm{M} \end{aligned}$ |  |  |  |  |
| C |  |  |  | $\begin{aligned} & \square \mathrm{F} \\ & \square \mathrm{M} \end{aligned}$ |  |  |  |  |
| D |  |  |  | $\begin{aligned} & \square \mathrm{F} \\ & \square \mathrm{M} \end{aligned}$ |  |  |  |  |

## SECTION II (Continued)

20B. ADDITIONAL DETAILS - reference line number(s).
If more space is needed, attach additional sheet. Applicant must sign and print name on sheet.

## SECTION III

## CERTIFICATION OF APPLICANT

22. I HEREBY CERTIFY that the above statements and answers are true and correct and further understand that a Temporary License will only be issued upon receipt of required forms and fees (DPR555ST) and that the Temporary License will be effective for a period of sixty (60) days from the date of issuance, during which time I will prepare myself to take a written examination at the time and place indicated by the California Department of Parks and Recreation, Division of Boating and Waterways.


## ATTACH HERE

 a photograph of yourself taken within one year prior to date of application (see instructions)
## CERTIFICATION OF BROKER

23. I HEREBY CERTIFY that I am a licensed Yacht and Ship Broker; that after an investigation fully made I believe the applicant herein to be honest, truthful and of good reputation, and I request the California Department of Parks and Recreation, Division of Boating and Waterways to issue said applicant a license as a Yacht and Ship Salesperson in my employ, subject to this applicant qualifying by written examination and meeting the other requirements of the law. I further certify that my employment of the applicant herein is bona fide employment and that I will exercise a careful and constant supervision over said applicant if this license is granted.

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BROKER'S SIGNATURE - Executed under penalty of perjury
DATE
BROKER'S LICENSE NO.


THE FOLLOWING ITEMS OF INFORMATION ARE VOLUNTARY, ALL OTHERS ARE MANDATORY
All information requested on the application is mandatory.
THE CONSEQUENCES, IF ANY, OF NOT PROVIDING ALL OR ANY PART OF THE REQUESTED INFORMATION
The applicant may not be issued a license.
THE PRINCIPAL PURPOSE(S) WITHIN THE AGENCY FOR WHICH THE INFORMATION IS TO BE USED
The information will be used to determine eligibility for Yacht and Ship Salesperon's License. Applications will be retained six years from the end of the calendar year for audit purposes, statistical data, and evaluation of program.
KNOWN OR FORESEEABLE DISCLOSURES OF THE INFORMATION PURSUANT TO CIVIL CODE SECTION 1798.24, SUBDIVISIONS (e) OR (f)
Department Audits Office for internal audits

# REQUEST FOR TEMPORARY YACHT AND SHIP SALESPERSON'S LICENSE 

## PRINT OR TYPE

APPLICANT'S FULL NAME (First, Middle, Last)

FULL NAME OF EMPLOYING BROKER (Business Name)

NAME OF BROKER (Signing Below)

BUSINESS ADDRESS (Street, City, State, Zip Code)

The applicant listed above has filed a DPR 555S Application for Yacht and Ship Salesperson's License with the required license and examination fees, and has submitted fingerprints for processing at a LIVE SCAN facility using a BCII 8016 form provided by the California Department of Parks and Recreation, Division of Boating and Waterways.

This applicant states to the California Department of Parks and Recreation, Division of Boating and Waterways, that he/she has not been guilty of any conduct and has not done any act that would constitute good cause for the suspension or revocation of a permanent license. The applicant requests that a temporary salesperson's license be issued to him/her as provided for in Section 725 of the Yacht and Ship Brokers Act, and tenders the required fee herewith for a period of sixty (60) days and agrees to appear and take the written examination to determine his/her qualifications for a permanent license before the expiration of the afresaid sixty (60) days. Only one temporary license shall be issued to each applicant.

COURIER (FedEx, UPS, etc.):
Attn: Yacht and Ship Unit/DBW Dept. of Parks and Recreation 4940 Lang Ave, Dock H, $12^{\text {th }}$ Floor McClellan, CA 95652

## USPS:

Attn: Yacht and Ship Unit/DBW
Dept. of Parks and Recreation
P.O. Box 942896, 12 ${ }^{\text {th }}$ Floor

Sacramento, CA 94296-0001

